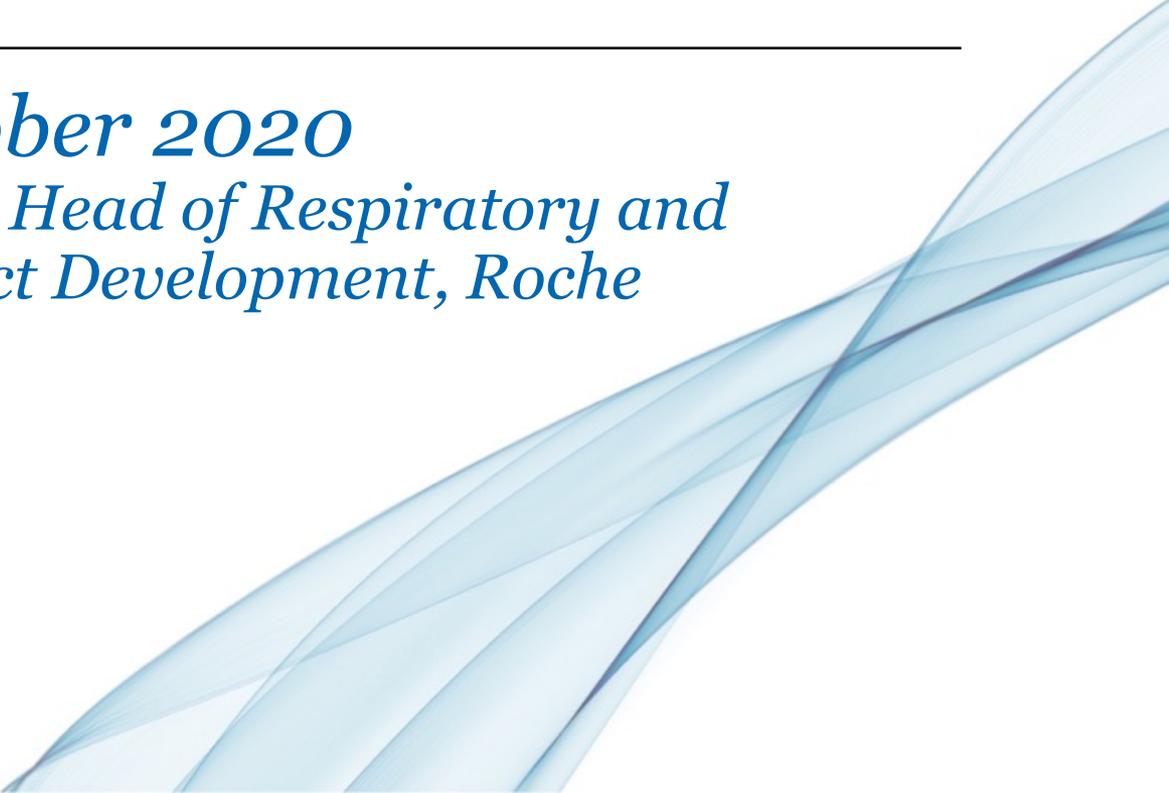


# Tocilizumab in COVID-19

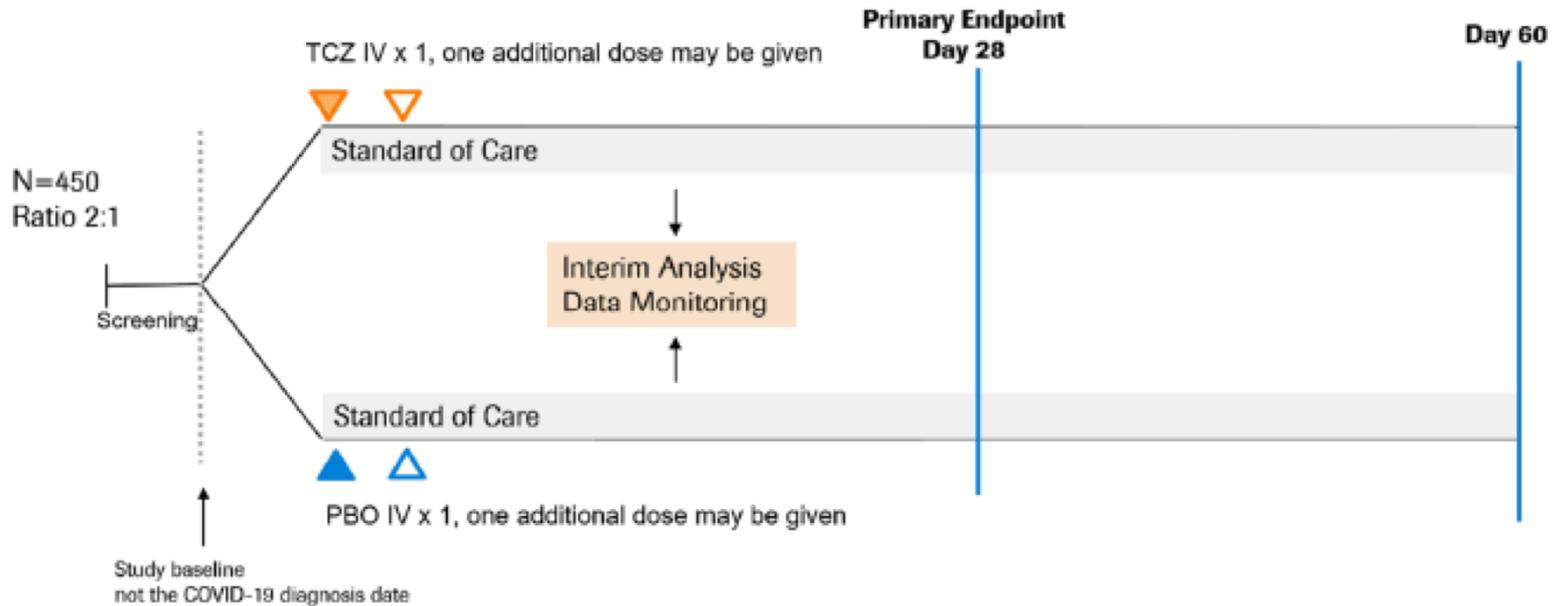
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*Update - 8th October 2020*

*Larry Tsai, MD, Global Head of Respiratory and  
Rheumatology Product Development, Roche*

Decorative blue wavy lines in the bottom right corner of the slide, consisting of several overlapping, semi-transparent, light blue curves that create a sense of movement and depth.

# COVACTA Study Design



IV = intravenous; PBO = placebo; TCZ = tocilizumab.

- Primary endpoint: clinical status on 7-category ordinal scale
- 452 patients enrolled, 438 in mITT population
- Patients who received 2 doses: 30% PBO, 22% TCZ

- Groups are well balanced
- Approximately  $\frac{2}{3}$  rds of the patients were male, slightly more patients  $\geq$  85 years old in TCZ

|                                | PBO<br>(N=144)   | TCZ 8 mg/kg<br>(N=294) |
|--------------------------------|------------------|------------------------|
| <b>Sex</b>                     |                  |                        |
| n                              | 144              | 294                    |
| Male                           | 101 (70.1%)      | 205 (69.7%)            |
| Female                         | 43 (29.9%)       | 89 (30.3%)             |
| <b>Age (yr)</b>                |                  |                        |
| n                              | 144              | 294                    |
| Mean (SD)                      | 60.6 (13.7)      | 60.9 (14.6)            |
| Median                         | 61.5             | 63.0                   |
| Min - Max                      | 22 - 93          | 25 - 96                |
| <b>Age group (yr)</b>          |                  |                        |
| n                              | 144              | 294                    |
| 18-64                          | 81 (56.3%)       | 163 (55.4%)            |
| 65-84                          | 60 (41.7%)       | 117 (39.8%)            |
| <b><math>\geq</math>85</b>     | <b>3 ( 2.1%)</b> | <b>14 ( 4.8%)</b>      |
| <b>Weight (kg)</b>             |                  |                        |
| n                              | 143              | 294                    |
| Mean (SD)                      | 88.09 (24.31)    | 88.90 (23.64)          |
| Median                         | 82.00            | 84.60                  |
| Min - Max                      | 37.3 - 185.9     | 43.5 - 186.0           |
| <b>Female Fertility Status</b> |                  |                        |
| n                              | 43               | 89                     |
| Yes                            | 9 (20.9%)        | 24 (27.0%)             |
| No                             | 34 (79.1%)       | 65 (73.0%)             |
| Post-Menopausal                | 32 (74.4%)       | 52 (58.4%)             |
| Pre-Menarchal                  | 0                | 1 ( 1.1%)              |
| Surgically Sterile             | 1 ( 2.3%)        | 12 (13.5%)             |

# Baseline Disease Characteristics



- Generally well-balanced. 10% difference in steroid use at baseline (more on PBO)

|  | PBO<br>(N=144)     | TCZ 8 mg/kg<br>(N=294) |
|--|--------------------|------------------------|
| <b>NEWS2 Score</b>                           |                    |                        |
| n  | 144                | 294                    |
| Mean (SD)                                    | 7.01 (3.03)        | 7.06 (3.00)            |
| Median                                       | 7.00               | 7.00                   |
| Min - Max                                    | 0.0 - 14.0         | 0.0 - 15.0             |
| <b>Ordinal Scale for Clinical Status (a)</b> |                    |                        |
| n  | 144                | 294                    |
| 1  | 0                  | 0                      |
| 2  | 6 (4.2%)           | 9 (3.1%)               |
| 3  | 44 (30.6%)         | 78 (26.5%)             |
| 4  | 39 (27.1%)         | 94 (32.0%)             |
| 5  | 15 (10.4%)         | 45 (15.3%)             |
| 6  | 39 (27.1%)         | 68 (23.1%)             |
| 7  | 1 (0.7%)           | 0                      |
| <b>IL-6 Level (ng/L) (b)</b>                 |                    |                        |
| n  | 100                | 233                    |
| Mean (SD)                                    | 195.42 (368.19)    | 201.94 (418.41)        |
| Median                                       | 71.15              | 88.10                  |
| Min - Max                                    | 3.1 - 2810.0       | 3.1 - 4020.0           |
| <b>CRP Levels (mg/L)</b>                     |                    |                        |
| n  | 125                | 237                    |
| Mean (SD)                                    | 172.64 (113.97)    | 168.35 (101.36)        |
| Median                                       | 150.30             | 157.20                 |
| Min - Max                                    | 1.6 - 499.6        | 1.1 - 446.6            |
| <b>Ferritin Levels (pmol/mL)</b>             |                    |                        |
| n  | 128                | 241                    |
| Mean (SD)                                    | 4027.27 (45430.66) | 6891.07 (106735.92)    |
| Median                                       | 2.17               | 2.30                   |
| Min - Max                                    | 0.1 - 514000.0     | 0.0 - 1657000.0        |
| <b>Mechanical Ventilation (c)</b>            |                    |                        |
| n  | 144                | 294                    |
| Yes  | 54 (37.5%)         | 111 (37.8%)            |
| No   | 90 (62.5%)         | 183 (62.2%)            |
| <b>Steroid Use (d)</b>                       |                    |                        |
| n  | 144                | 294                    |
| Yes  | 41 (28.5%)         | 57 (19.4%)             |
| No   | 103 (71.5%)        | 237 (80.6%)            |

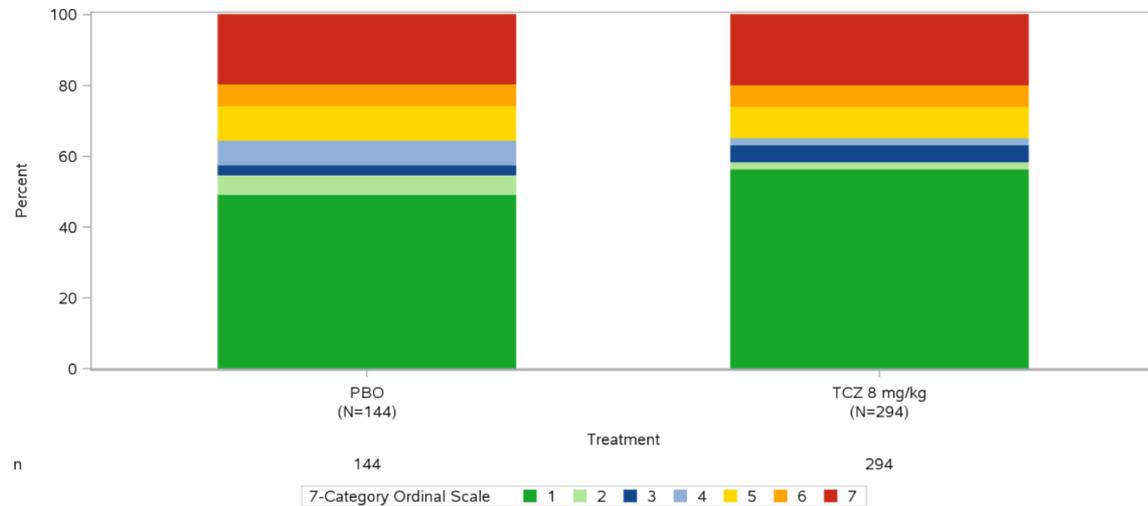
(a) Ordinal Scale for Clinical Status 1. Discharged (or "ready for discharge") 2. Non-ICU hospital ward (or "ready for hospital ward") not requiring supplemental oxygen 3. Non-ICU hospital ward (or "ready for hospital ward") requiring supplemental oxygen 4. ICU or non-ICU hospital ward, requiring non-invasive ventilation or high-flow oxygen 5. ICU, requiring intubation and mechanical ventilation 6. ICU, requiring ECMO or mechanical ventilation and additional organ support 7. Death  
 (b) Any values reported as BLQ were set to the lower limit of detection for the assay (3.12 pg/mL).  
 (c) as listed in IxRS  
 (d) Between Day -7 and Day 1. Steroid use only includes systemic use. Anti-viral treatment includes Lopinavir;Ritonavir, Remdesivir, Lopinavir, Ritonavir, Chloroquine, Hydroxychloroquine and Hydroxychloroquine Sulfate.

# Primary Endpoint



## Clinical Status assessed using 7-category Ordinal Scale at Day 28 (Week 4)

|                                     | PBO<br>(N=144) | TCZ 8 mg/kg<br>(N=294) |
|-------------------------------------|----------------|------------------------|
| Number of patients in each category |                |                        |
| Week 4                              |                |                        |
| n                                   | 144            | 294                    |
| 1                                   | 71 (49.3%)     | 166 (56.5%)            |
| 2                                   | 8 (5.6%)       | 6 (2.0%)               |
| 3                                   | 4 (2.8%)       | 14 (4.8%)              |
| 4                                   | 10 (6.9%)      | 6 (2.0%)               |
| 5                                   | 14 (9.7%)      | 26 (8.8%)              |
| 6                                   | 9 (6.3%)       | 18 (6.1%)              |
| 7                                   | 28 (19.4%)     | 58 (19.7%)             |



Day 28 (Week 4) outputs displaying data post LOCF (Last Post-Baseline Observation Carried Forward) imputation.

## Clinical status assessed using a 7-category ordinal scale at Day 28 (Week 4)

No Statistical Significance was found for the Difference between TCZ and PBO Clinical Status assessed using 7-category Ordinal Scale at Week 4, with medians of TCZ = **1.0**; PBO = **2.0**, a Difference in Medians [95% CI] = **-1.0 [-2.5, 0.0]** and a P-Value\* of **0.3600**. **The Odds Ratio\* [95% CI] was 1.19 [0.81, 1.76]**

|                            | PBO<br>(N=144) | TCZ 8 mg/kg<br>(N=294) |
|----------------------------|----------------|------------------------|
| Clinical Status            |                |                        |
| n                          | 144            | 294                    |
| Median                     | 2.0            | 1.0                    |
| 95% CI                     | (1.0, 4.0)     | (1.0, 1.0)             |
| 25th Percentile            | 1.0            | 1.0                    |
| 95% CI                     | (1.0, 1.0)     | (1.0, 1.0)             |
| 75th Percentile            | 6.0            | 6.0                    |
| 95% CI                     | (5.0, 7.0)     | (5.0, 6.0)             |
| Difference in Medians      |                | -1.0                   |
| 95% CI (a)                 |                | (-2.5, 0.0)            |
| P-Value (Van Elteren Test) |                | 0.3600                 |

LOCF (Last Post-Baseline Observation Carried Forward) Imputation used for Withdrawals.

\*P-Value and Odds Ratio from the Van Elteren test and Ordinal Logistic Regression respectively, both stratified by region and mechanical ventilation at baseline

## Difference in Mortality at Day 28 (Week 4)

No Statistical Significance was seen for the Difference between TCZ and PBO in the % of patients that died by Week 4; TCZ = **19.7%** and PBO = **19.4%** with a Weighted Difference [95% CI] of **0.3% [-7.6%, 8.2%]** and a P-Value\* of **0.9410**

|                              | PBO<br>(N=144) | TCZ 8 mg/kg<br>(N=294) |
|------------------------------|----------------|------------------------|
| Mortality                    | 28 (19.4%)     | 58 (19.7%)             |
| 95% CI                       | (13.0%, 25.9%) | (15.2%, 24.3%)         |
| Weighted Difference in % (a) |                | 0.3%                   |
| 95% CI                       |                | (-7.6%, 8.2%)          |
| P-Value (CMH Test)           |                | 0.9410                 |

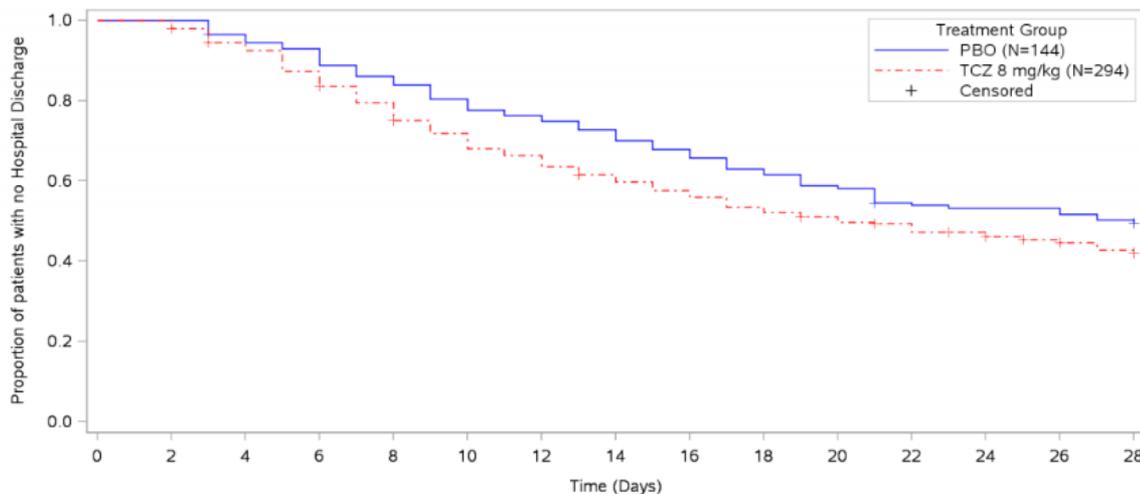
\*P-Value from Extended Cochran–Mantel–Haenszel Test stratified by region and mechanical ventilation at baseline

# Key Secondary Endpoints



## Time to Hospital Discharge or “Ready for Discharge” to Day 28 (Week 4)

Nominal Statistical Significance was found for the difference in Time to Hospital Discharge or “Ready for Discharge”, with median times [95% CI] (days) of TCZ = **20.0 [17.0 , 27.0]** , PBO = **28.0 [20.0 , NE]**, a P-Value\* of **0.0370**, and Hazard Ratio\* [95% CI] (ref=PBO) = **1.350 [1.02 , 1.79]**



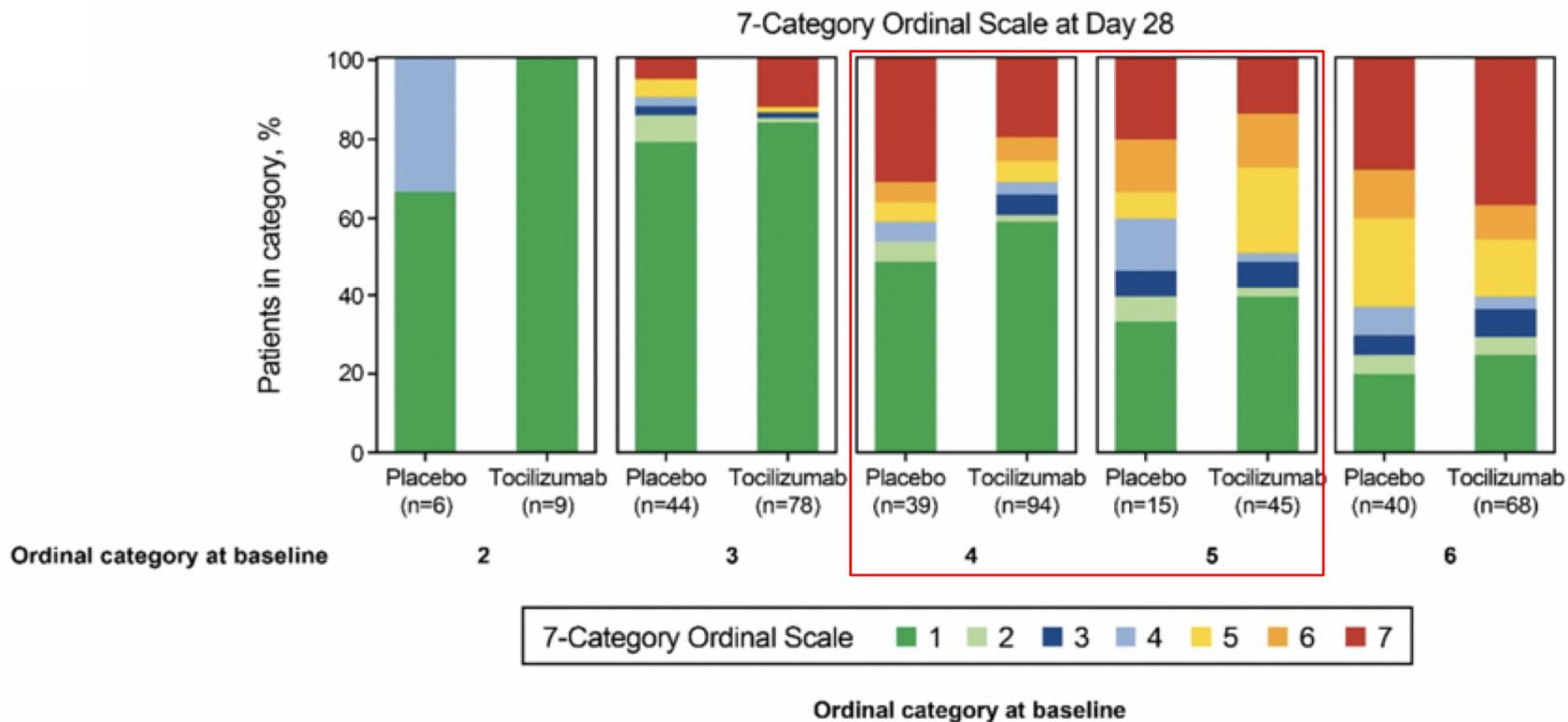
| Patients remaining at risk |     | 0   | 2   | 4   | 6   | 8   | 10  | 12  | 14  | 16  | 18  | 20  | 22  | 24  | 26  | 28 |
|----------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|
| PBO                        | 144 | 144 | 138 | 133 | 123 | 115 | 109 | 104 | 97  | 90  | 84  | 76  | 74  | 74  | 70  |    |
| TCZ 8 mg/kg                | 294 | 294 | 276 | 255 | 231 | 208 | 192 | 176 | 165 | 153 | 145 | 139 | 132 | 124 | 114 |    |

\*P-Value from Log-Rank Test and HR from Cox Proportional Hazards Model both stratified by region and mechanical ventilation at baseline

Cumulative incidence function plot produced using the nonparametric Aalen–Johansen estimator.

# Subgroup Analyses

Clinical status at day 28 by baseline ordinal category



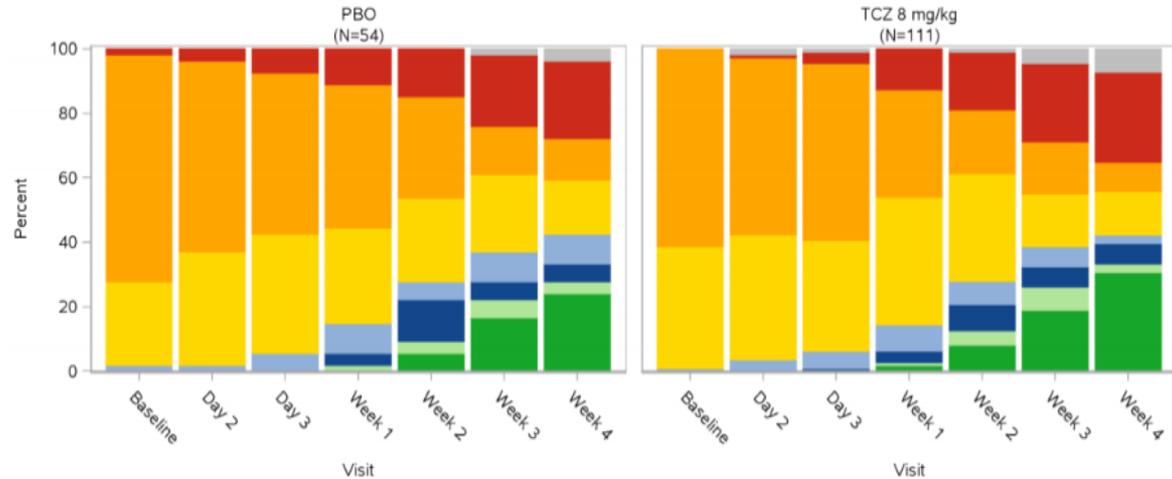
# Subgroup Analyses



## Clinical status assessed using a 7-category ordinal scale at Day 28 (Week 4)

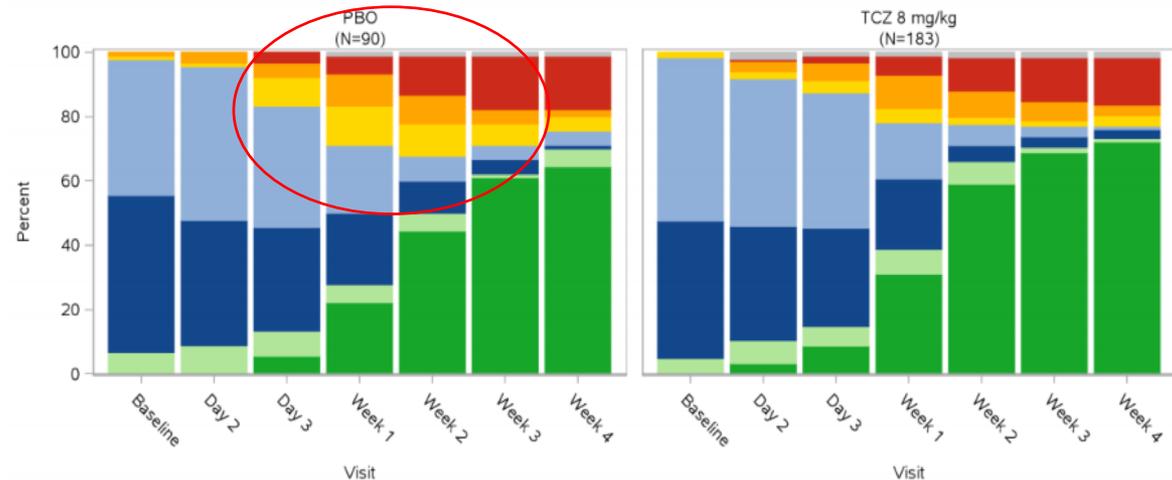
On Mechanical Ventilation at Baseline

On Mechanical Ventilation at Baseline (N=165)



Not on Mechanical Ventilation at Baseline

Not on Mechanical Ventilation at Baseline (N=273)



7-Category Ordinal Scale 1 2 3 4 5 6 7

# Other Secondary Endpoints



Time to clinical failure (death, mechanical ventilation, ICU transfer, or withdrawal) among patients not on MV at baseline

|   | <b>PBO</b><br>N=90              | <b>TCZ</b><br>N=183 |
|---|---------------------------------|---------------------|
| With event (%)  | 38 (42.2%)                      | 53 (29.0%)          |
| Time to event (days)<br>Median<br>95% CI  | NE<br>(11.0, NE)                | NE<br>NE            |
| Stratified analysis<br>P value<br>HR (cox proportional hazard model, ref=PBO)<br>(95% CI) | 0.0253<br>0.614<br>(0.40, 0.94) |                     |

# Other Secondary Endpoints



Incidence of mechanical ventilation or death among patients not intubated at baseline

|                                    | <b>PBO</b><br>N=90      | <b>TCZ</b><br>N=183 |
|------------------------------------|-------------------------|---------------------|
| Pts with MV or death               | 33 (36.7%)              | 51 (27.9%)          |
| 95% CI                             | (26.7%, 46.6%)          | (21.4%, 34.4%)      |
| Weighted difference in %<br>95% CI | -8.9%<br>(-20.7%, 3.0%) |                     |
| P value<br>(CMH test)              | 0.1355                  |                     |

# Other Secondary Endpoints



Incidence of ICU transfer or death among patients not in ICU at baseline

|                                    | <b>PBO</b><br>N=64        | <b>TCZ</b><br>N=127 |
|------------------------------------|---------------------------|---------------------|
| Pts with ICU admission or death    | 26 (40.6%)                | 30 (23.6%)          |
| 95% CI                             | (28.6%, 52.7%)            | (16.2%, 31.0%)      |
| Weighted difference in %<br>95% CI | -16.0%<br>(-30.2%, -1.8%) |                     |
| P value<br>(CMH test)              | 0.0229                    |                     |

# Other Secondary Endpoints



Duration of ICU stay shorter among TCZ patients (mITT population)

|                                      | <b>PBO</b><br>N=144    | <b>TCZ</b><br>N=294 |
|--------------------------------------|------------------------|---------------------|
| Median ICU stay (days)               | 15.5                   | 9.8                 |
| 95% CI                               | (8.7%, 25.5%)          | (7.0%, 15.7%)       |
| Weighted difference (days)<br>95% CI | -5.8<br>(-15.0%, 2.9%) |                     |
| P value<br>(CMH test)                | 0.0454                 |                     |

No new safety signals. Safety profile is similar in both arms.

| Number (%)  | to Week 4          |                    | to Clinical Cutoff Date |                    |
|---|--------------------|--------------------|-------------------------|--------------------|
|   | PBO<br>N = 143     | TCZ<br>N = 295     | PBO<br>N = 143          | TCZ<br>N = 295     |
| Total Pts with at least one AE<br>Total AEs   | 116 (81.1%)<br>360 | 228 (77.3%)<br>778 | 118 (82.5%)<br>423      | 237 (80.3%)<br>906 |
| Total Pts with at least one SAE<br>Total SAEs                                       | 55 (38.5%)<br>101  | 103 (34.9%)<br>160 | 62 (43.4%)<br>117       | 113 (38.3%)<br>183 |
| Total Pts with at least one<br>Infection and infestation AE                         | 58 (40.6%)         | 113 (38.3%)        | 62 (43.4%)              | 126 (42.7%)        |
| Total Pts with at least one<br>Infection and infestation SAE                        | 37 (25.9%)         | 62 (21.0%)         | 41 (28.7%)              | 70 (23.7%)         |
| Total Pts who withdrew treatment<br>due to an AE                                    | 1 (0.7%)           | 0                  | 1 (0.7%)                | 0                  |
| Deaths  | 28 (19.6%)         | 58 (19.7%)         | 33 (23.1%)              | 70 (23.7%)         |
| Total number of patients<br>withdrawn from study due to an<br>AE (excluding deaths) | 0                  | 0                  | 0                       | 0                  |

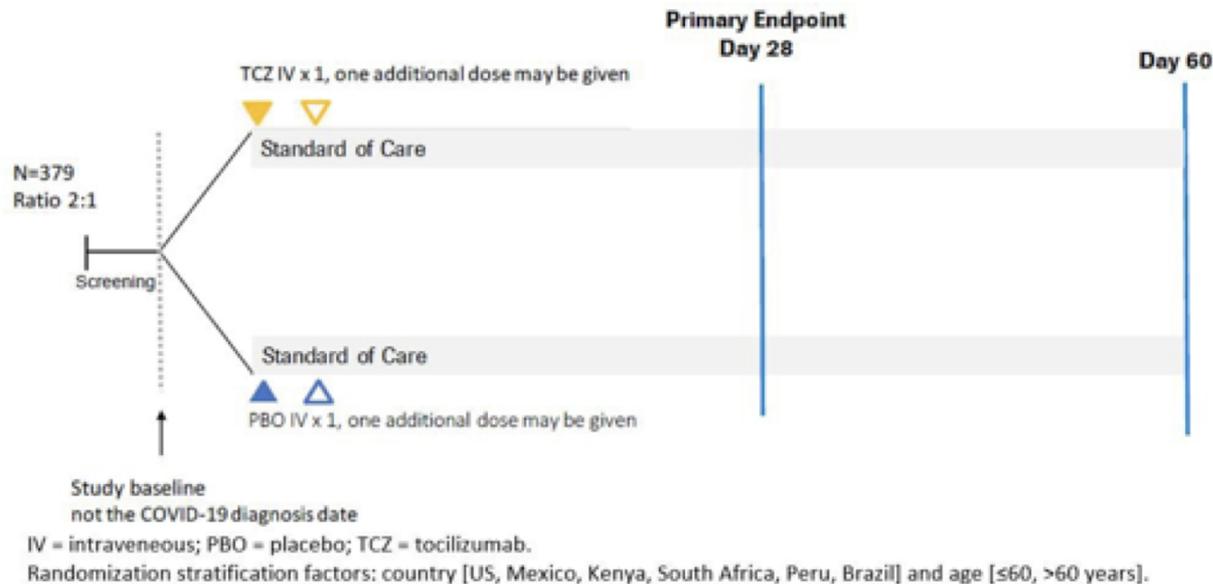
- Efficacy

- Primary endpoint was not met
- No difference in mortality
- 8 day improvement in time to discharge or 'ready for discharge' was nominally significant
- Decreased risk of clinical failure (death, MV, or ICU) was nominally significant (HR 0.614)
- 5.8 day improvement in ICU stay was nominally significant

- Safety

- No new safety signals were identified
- The safety profile was comparable across the treatment groups
- Infections and serious infections occurred less frequently in TCZ arm

# EMPACTA - Topline results released



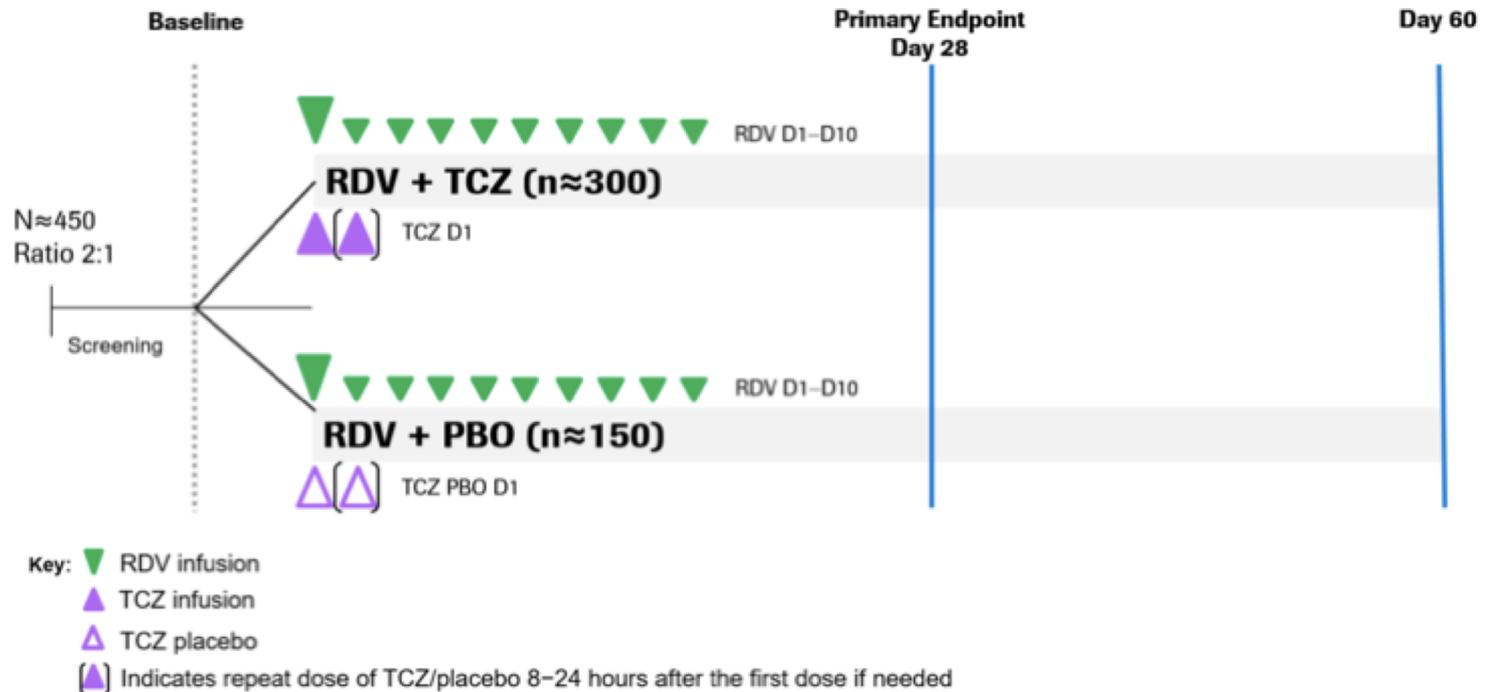
## PRIMARY ENDPOINT MET:

- Statistically significant reduction in risk of MV or death (log-rank p-value = 0.0348; HR [95% CI] = 0.56 [0.32, 0.97]).
- No statistical difference in mortality

## Key differences from COVACTA:

- Patients on MV/NIV excluded
- 80% corticosteroids, 50% remdesivir

# REMDACTA - Recruitment continuing



## Key differences from COVACTA:

- Combination treatment with remdesivir
- Patients requiring  $\leq 6$  LPM supplemental oxygen excluded
- Patients with renal failure excluded

Currently enrolling in US, Brazil, Russia

- Planning expansion in Europe and Latin America

Topline data end of 2020

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